

# Sampling from the random-cluster model on random regular graphs

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Joint with:

Andreas Galanis, Paulina Smolarova

(their lovely slides!)

*Combinatorial, Algorithmic and Probabilistic aspects  
of Partition Functions*

March 2025

This talk: **connections** between

- **structural properties**

What does a typical sample from the distribution look like?

- **dynamical properties**

Can we sample efficiently using Markov chains?

# Random Cluster Model

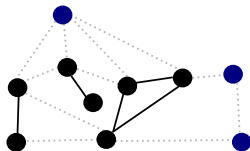
graph  $G = (V, E)$ ,  $q \geq 1$ ,  $\beta > 0$  reals

Configuration set  $\Omega_G$ : edge subsets  $F \subseteq E$

Weights:  $w_G(F) = q^{c(F)}(e^\beta - 1)^{|F|}$  where  $c(F) = \#$  components in  $(V, F)$

Gibbs distribution:  $\pi_G(F) = w_G(F)/Z_G$

Partition function:  $Z_G = \sum_{F \subseteq E} w_G(F)$



RC configuration with  $c(F) = 6$ ,  $|F| = 5$

For integer  $q \geq 2$ : Random Cluster  $\longleftrightarrow$  Potts

Potts-model configurations:  $\sigma : V \rightarrow \{1, \dots, q\}$ .

Weight: factor of  $e^\beta$  for each monochromatic edge.

# Sampling using Glauber dynamics

Markov chain  $(X_t)_{t \geq 0}$  on edge subsets

**Roughly:** update whether  $e \in X_t$  conditioned on the status of  $E \setminus \{e\}$ .

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Start from arbitrary  $X_0$ . To obtain  $X_{t+1}$  from  $X_t$ :

- 1 Pick an edge  $e \in E$  u.a.r.
- 2 With probability  $\frac{w_G(X_t \cup \{e\})}{w_G(X_t \cup \{e\}) + w_G(X_t \setminus \{e\})}$ , set  $X_{t+1} = X_t \cup \{e\}$

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**Standard fact:**  $X_t$  converges to  $\pi_G$

$T_{\text{mix}} = \#$  steps to get within TV distance  $\leq 1/4$  from  $\pi_G$

**Question:** fast vs slow mixing?

# Computational Complexity Results

**Question:** On input  $G = (V, E)$ , can we sample from  $\pi_G$  in  $\text{poly}(|V|)$  time?

[Jerrum-Sinclair '93, Guo-Jerrum '17]:

Poly-time algorithm when  $q = 2$  and  $\beta > 0$

(JS: even-subgraphs formulation; GJ: edge-flip dynamics for random cluster (and SW))

[Goldberg-Jerrum '10]:

Hard when  $q > 2$  and  $\beta > 0$

#BIS-hard

[Galanis-Stefankovic-Vigoda-Yang '14]:

For int  $q \geq 3$ , hard on  $\Delta$ -regular graphs when  $\beta > \beta_c := \ln \frac{q-1}{(q-2)^{1-2/\Delta}-1}$

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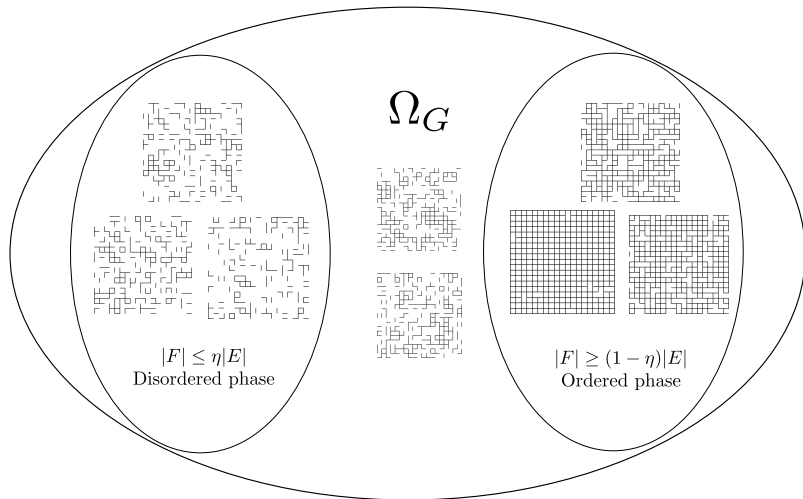
Can we understand behaviour of Glauber on the random regular graph?

- Same phenomena believed to be relevant even for worst-case graphs
- Well studied in the mean-field case (complete graph)
- [Bollobás-Grimmett-Janson '96], [Gore-Jerrum '96], [Cooper-Dyer-Frieze-Rue '06], [Long-Nachmias-Ning-Peres '14], [Cuff-Ding-Louidor-Lubetzky-Peres-Sly '12], [Galanis-Stefankovic-Vigoda '17], [Blanca-Sinclair '17], [Gheissari-Lubetzky-Peres '18]



# Phases for RC on random regular graphs

Disordered vs Ordered Configurations:  $|F| \leq \eta|E|$  vs  $|F| \geq (1 - \eta)|E|$



A typical  $F \sim \pi_G$  is w.h.p. either *ordered* and *disordered*

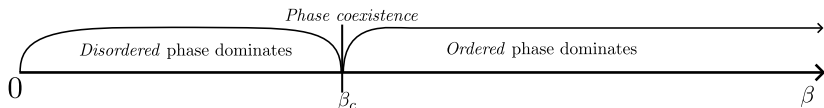
# Phase transition on the random regular graph

[Helmuth-Jenssen-Perkins '20] ( $q$  large)

Let  $\eta = \eta(\Delta) > 0$  be a small constant. Define

- **Disordered phase**  $\Omega^{\text{dis}}$ :  $\{F \subseteq E \text{ with } |F| \leq \eta|E|\}$
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Let  $\pi^{\text{dis}} = \pi(\cdot \mid \Omega^{\text{dis}})$ ,  $\pi^{\text{ord}} = \pi(\cdot \mid \Omega^{\text{ord}})$



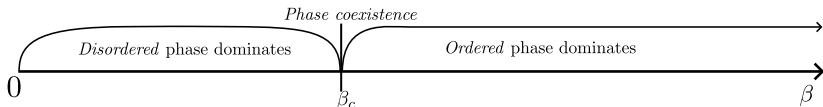
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Phase transition at  $\beta_c = (1 + o_q(1)) \frac{2 \log q}{\Delta}$

- if  $\beta < \beta_c$ ,  $|\pi - \pi^{\text{dis}}| = e^{-\Omega(n)}$
- if  $\beta > \beta_c$ ,  $|\pi - \pi^{\text{ord}}| = e^{-\Omega(n)}$
- for  $\beta = \beta_c$ :  $\pi(\Omega^{\text{dis}}), \pi(\Omega^{\text{ord}}) = \Omega(1)$ ,  $\pi(\Omega^{\text{dis}} \cup \Omega^{\text{ord}}) = 1 - e^{-\Omega(n)}$ .

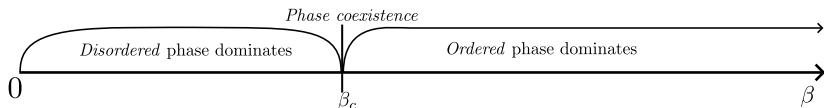
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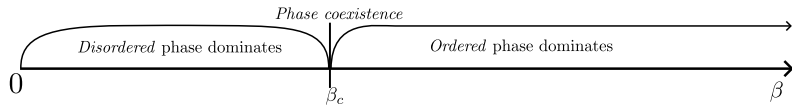
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[Galanis-Stefankovic-Vigoda-Yang '14], [Bencs-Borbényi-Csikvári '22]:

$$\beta_c = \ln \frac{q-1}{(q-2)^{1-2/\Delta}-1} \text{ for all } q > 2$$

# Glauber on the random regular graph

What about Glauber dynamics?

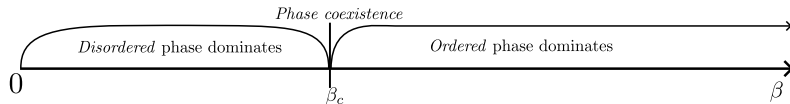


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What about Glauber dynamics?

Two other thresholds  $\beta_u < \beta_c < \beta_h$  that are relevant

- connected to uniqueness threshold on infinite  $\Delta$ -regular tree
- [Haggström '96]: showed non-uniqueness (on tree for  $q > 2$ ) when  $\beta \in [\beta_u, \beta_h]$

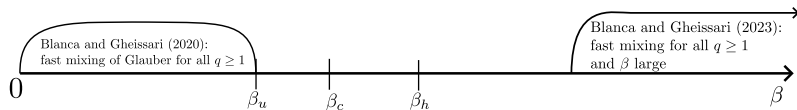


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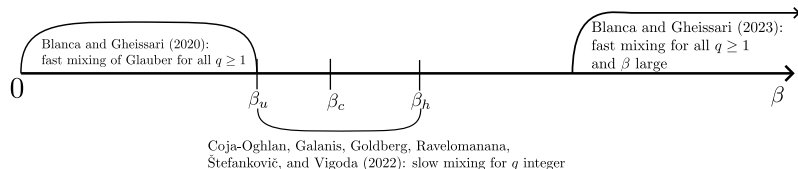
- [Blanca-Gheissari '20]: for  $\beta < \beta_u$ ,  $T_{\text{mix}} = \Theta(n \log n)$  for  $q \geq 1$
- [Blanca-Gheissari '23]: for large  $\beta$ ,  $T_{\text{mix}} = \Theta(n \log n)$  for  $q \geq 1$

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- [Coja Oghlan-Galanis-Goldberg-Ravelomanana-Stefankovic-Vigoda '21]

For integer  $q \geq 3$ , **metastability** for  $\beta \in (\beta_u, \beta_h)$

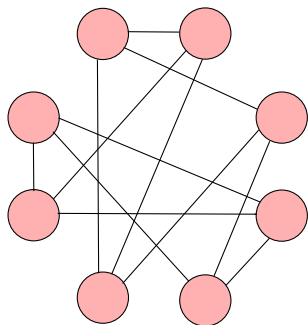
Exponential time for the dynamics to move from  $\Omega^{\text{ord}}$  to  $\Omega^{\text{dis}}$  (and vice versa)



# Ordered/Disordered on Random Regular graphs

For  $a \in (0, 1)$ :  $\Omega_G(a) = \{F \subseteq E : |F| = a|E|\}$

random  $\Delta$ -regular graph



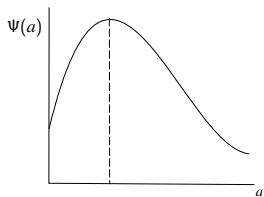
$$Z_G(a) = \sum_{F \in \Omega_G(a)} w_G(F)$$

$$Z_G = \sum_a Z_G(a)$$

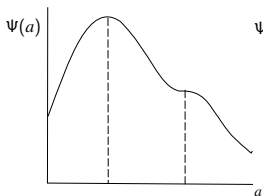
Which  $a$  achieve  $\max_a Z_G(a)$ ?

Plots of  $\Psi(a) := \frac{1}{n} \log Z_G(a)$  for integer  $q$  where  $Z_G = \sum_a e^{n\Psi(a)}$

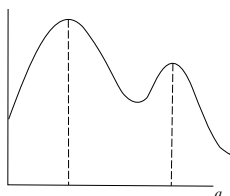
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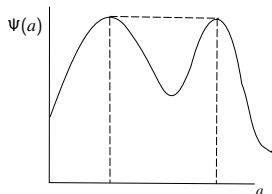
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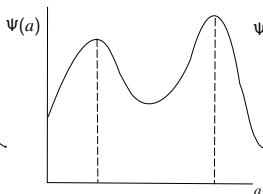
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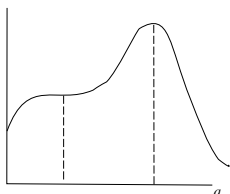
$$\beta \in (\beta_u, \beta_c)$$



$$\beta = \beta_c$$



$$\beta \in (\beta_c, \beta_h)$$



$$\beta \geq \beta_h$$

- $\beta < \beta_u$ : disordered is the only local max
- $\beta_u < \beta < \beta_c$  both local max, disordered is the global max
- $\beta_c < \beta < \beta_h$  both local max, ordered is the global max
- $\beta > \beta_h$  ordered is the only local max

[Helmuth-Jenssen-Perkins '20:]

Poly-time algorithm when  $q$  is large for all  $\beta$

Based on cluster-expansion methods (expanding power series of partition fn)

Idea: Approx  $Z_G^{\text{dis}}$  for  $\beta < \beta_1$ ,  $Z_G^{\text{ord}}$  for  $\beta > \beta_0$

Crucially:  $\beta_0 < \beta_c < \beta_1$

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Question: Can we obtain a fast algorithm using Glauber?

- o Perhaps we can avoid bottlenecks from well-chosen starting configurations
  - For  $\beta < \beta_c$ , start from all-out ( $X_0 = \emptyset$ )
  - For  $\beta > \beta_c$ , start from all-in ( $X_0 = E$ )
  - For  $\beta = \beta_c$ , start from appropriate mixture of  $\emptyset$  and  $E$ .

Intuition: Glauber should mix well within  $\Omega^{\text{ord}}$  and  $\Omega^{\text{dis}}$

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[Gheissari-Sinclair '21]:

Obtained analogous starting-state result for Ising ( $q = 2$ ) but for  $\beta$  large

# Our Result

Let  $\Delta \geq 5$ .  $\exists C = C(\Delta)$  s.t. for all  $q$  large enough and any  $\beta > 0$ ,  
w.h.p. over random  $\Delta$ -regular graph:

- 1 For  $\beta < \beta_c$ ,  $T_{\text{mix}}$  of Glauber starting from all-out is  $O(n \log n)$ .
- 2 For  $\beta > \beta_c$ ,  $T_{\text{mix}}$  of Glauber starting from all-in is  $O(n^C)$ .

For integer  $q$ , the mixing time is  $O(n \log n)$ .

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## Notes:

- o For  $\beta = \beta_c$ , analogous  $T_{\text{mix}}$  bound from all-in/all-out mixture
- o Proof builds on cluster expansion results of [Jenssen-Helmuth-Perkins '20]



## Proof sketch ( $\beta > \beta_c$ )

$X_t$ : Glauber from all-in

$\hat{X}_t$ : Glauber from stationarity, but restricted to ordered phase

**Goal:** For any edge  $e$ ,  $|\Pr(e \in X_t) - \Pr(e \in \hat{X}_t)| \leq 1/(4|E|)$

## Proof sketch ( $\beta > \beta_c$ )

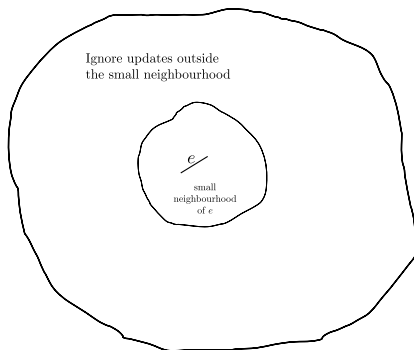
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**Proof technique:** Use local behaviour to extrapolate something global

- o Can we show edges far away have little influence on  $e$ ?
- o **Key:** compare with a chain restricted to a *small neighbourhood* around  $e$

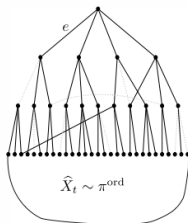


# Proof sketch: edge marginals

Pick  $v \in V$  incident to  $e$ ,  $r = \Theta(\log n)$

$(X_t^v)$ : restricted chain starting from all-in, ignoring updates outside  $B_r(v)$

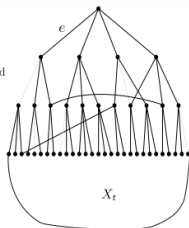
$(X_t^v)$  converges to  $\pi_{B_r^+}(v)$  (RC on the wired ball)



Glauber restricted to the ordered phase, starting from stationary

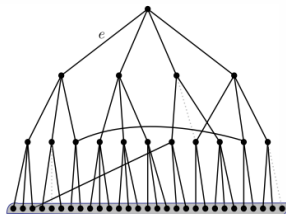
As long as  $\widehat{X}_t$  has not ignored any updates

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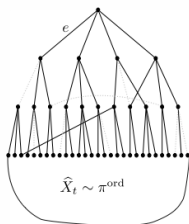
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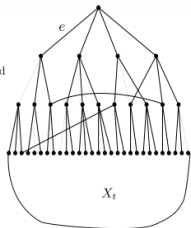
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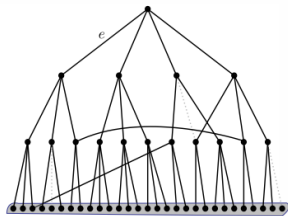
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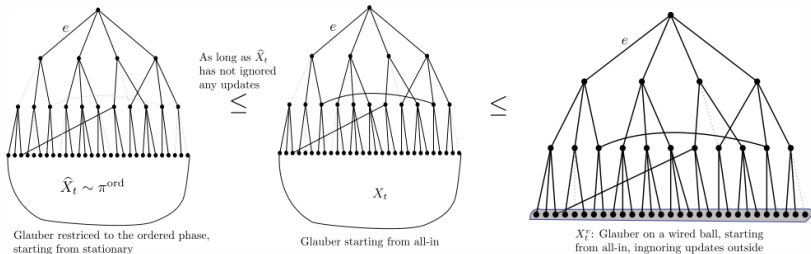
$$\begin{aligned}
 |\Pr(e \in X_t^v) - \Pr(e \in \hat{X}_t)| &\leq \quad (\text{triangle inequality}) \\
 &\leq |\Pr(e \in X_t^v) - \pi_{B_r^+}(v)(e \in \cdot)| + |\pi_{B_r^+}(v)(e \in \cdot) - \Pr(e \in \hat{X}_t)|
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For  $t$  poly, prob of ignoring updates is small, LHS conditioned on this is at most

$$|\Pr(e \in X_t^v) - \pi_{B_r^+}(v)(e \in \cdot)| + |\pi_{B_r^+}(v)(e \in \cdot) - \pi^{\text{ord}}(e \in \cdot)| + e^{-\Omega(n)}$$